

LEGISLATIVE PERFORMANCE AUDIT AND OVERSIGHT COMMITTEE
MINUTES

October 29, 2018

The Legislative Performance Audit and Oversight Committee met on Monday, October 29, 2018 at 1:30 PM in Room 212 of the Legislative Office Building.

Members in attendance were as follows:

Rep. Lynne Ober, Chair
Rep. Richard Barry
Rep. Lucy Weber
Sen. John Reagan

The meeting convened at 1:30 PM.

ACCEPTANCE OF MINUTES:

On a motion by Senator Reagan, seconded by Representative Barry, that the minutes of the July 23, 2018 meeting be accepted.

MOTION ADOPTED.

CURRENT STATUS OF ONGOING PERFORMANCE AUDITS:

Chairwoman Ober informed Committee members the Department of Education (DOE), Bureau of Vocational Rehabilitation audit topic had been approved by the Fiscal Committee, and the LBA could begin drafting a scope statement.

Stephen Smith, Audit Division Director, briefed the Committee and responded to questions regarding ongoing performance audits.

Mr. Smith informed the Committee of three audits currently in process: the Police Standards and Training Council; the Department of Environmental Services (DES), Water Division; and the Adult Parole Board audits. The Police Standards and Training Council observations have been completed and submitted to Council for review. The field work for the DES, Water Division audit has been concluded, and observations are in the process of being drafted. The final report is expected to be lengthy and sizeable. The Fiscal Committee presentation is targeted for the January meeting. The Parole Board observations are being drafted and a presentation is also targeted for January.

APPROVED AUDIT TOPICS:

Mr. Smith reminded the Committee that there were two topics in the queue; the DOE, Bureau of Vocational Rehabilitation and the DHHS, Therapeutic Cannabis Program: Issuance of Registry Identification Cards. No work had begun on these topics. He also informed the Committee of the need for one more audit topic.

SEEK AND DISCUSS NEW AUDIT TOPICS:

Chairwoman Ober requested a motion for the acceptance of a performance audit of the Liquor Commission, Division of Enforcement.

On a motion by Representative Barry, seconded by Representative Weber.

MOTION ADOPTED.

The Committee revisited the topic of Succession Planning from the previous meeting, and generally discussed potential actions to move forward.

Charles Arlinghaus, Commissioner of the Department of Administrative Services (DAS), has agreed to inform Commissioners and HR members that future performance audits should include their organizations succession plan.

No action was taken to audit Succession Planning again.

OTHER BUSINESS:


Chairwoman Ober and Representative Barry congratulated the LBA for receiving the 2018 NLPES Certificate of Impact award, by the National Legislative Program Evaluation Society, for their report on Health and Human Services Bureau of Developmental Services Unspent Appropriations.

Mr. Smith informed the Committee a letter would be drafted for the next Fiscal Committee meeting informing them of the Certificate of Impact award.

DATE OF NEXT MEETING AND ADJOURNMENT:

Next meeting will be at the call of the Chairwoman.

Chairwoman Ober adjourned the Committee at 2:23 PM.



John Reagan, Committee Chairman