

LEGISLATIVE PERFORMANCE AUDIT AND OVERSIGHT COMMITTEE
MINUTES

March 6, 2017

The Legislative Performance Audit and Oversight Committee met on Friday, March 6, 2017 at 1:00 PM in Room 212 of the Legislative Office Building.

Members in attendance were as follows:

Rep. Lynne Ober, Chair
Rep. Lucy Weber
Rep. Raymond Gagnon
Rep. Richard Barry
Sen. John Reagan
Sen. Bob Giuda
Sen. Jay Kahn

The meeting convened at 1:00 PM.

ORGANIZATION AND ELECTION OF OFFICERS:

On a motion by Senator Reagan, seconded by Representative Weber, that Representative Ober be elected Chairperson. MOTION ADOPTED.

ACCEPTANCE OF MINUTES:

On a motion by Senator Reagan, seconded by Representative Weber, that the minutes of the November 10, 2016, meeting be accepted. MOTION ADOPTED.

CURRENT STATUS OF ONGOING AND PENDING PERFORMANCE AUDITS:

Stephen Smith, Audit Division Director, briefed the Committee and responded to questions regarding ongoing and pending performance audits.

Mr. Smith informed the Committee one performance audit report had been completed and presented to the Fiscal Committee since the LPAOC's previous meeting; the Department of Corrections, Sex Offender Treatment Program.

Mr. Smith informed the Committee of three audits currently in process: the Naturopathic Examiners, the Community College System, and the Real Estate Brokers audits. The field work for the Naturopathic Examiners had been completed, while the Community College System and the Real Estate Brokers audits were still in field work. The Scope Statement for the Real Estate Brokers audit was to be discussed momentarily for approval and consideration. The draft report for the Naturopathic

Examiners audit was with the auditees and the report was anticipated to be presented to the Fiscal Committee at the April meeting. The Community College System and the Real Estate Brokers audits were anticipated to go to the Fiscal Committee in the spring.

Mr. Smith informed the Committee the Control Drug Prescription Health and Safety Program had a statutory deadline of December 31st. The audit was anticipated to begin at the end of the month or early April, depending upon when a meeting could be scheduled with the Board of Pharmacy.

Mr. Smith reminded the Committee there were two audits in the queue: 1) the Department of Environmental Services, Air Division and 2) the Department of Information Technology. The Department of Environmental Services, Air Division performance audit had been started about a year ago, but was tabled due to topic re-prioritization. The audit will continue upon completion of current ongoing audits. No work has yet begun on the Department of Information Technology.

Mr. Smith presented the committee with two handouts: 1) a historical listing of potential performance audit topics used to choose future audits, and 2) a listing of previously conducted performance audits, by agency and date. Mr. Smith requested the committee contact himself or the performance audit supervisor if any audit topics should be added to the first list.

DISCUSSION AND APPROVAL OF SCOPE STATEMENT FOR THE REAL ESTATE COMMISSION PERFORMANCE AUDIT:

Chairman Ober requested a motion for acceptance of the scope statement for the performance audit of The Real Estate Commission.

On a motion by Senator Reagan, seconded by Senator Giuda.

MOTION ADOPTED.

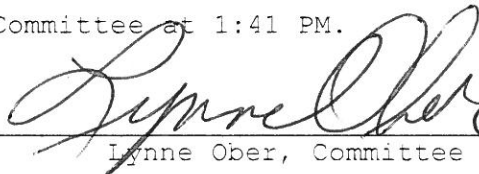
OTHER:

The Committee generally discussed the process of choosing audit topics.

DATE OF NEXT MEETING AND ADJOURNMENT:

Next meeting will be at the call of the Chairman.

Representative Ober adjourned the Committee at 1:41 PM.



Lynne Ober, Committee Chairman