

Office Of Legislative Budget Assistant-Audit Division
POTENTIAL PERFORMANCE AUDIT TOPICS
Joint Legislative Performance Audit and Oversight Committee
November 1, 2017 Meeting

NOTE: Most topics were developed by LBA Audit Division staff and are in no particular order. They are provided as a reference only for Committee members.

1. DEPARTMENT OF ENVIRONMENTAL SERVICES – Water Division
2. POLICE STANDARDS AND TRAINING COUNCIL, In Performance of Its Charter
 - *This potential audit topic comes from other elected officials through Rep. Lynne Ober. The subject matter would be to “[d]etermine whether or not the council is following their own rules with respect to certification and de-certification.” The specific concern appears to be with compliance with the list of disqualifying factors to be a certified law enforcement officer. (This topic was approved by the LPAOC on February 22, 2013 and subsequently tabled by Fiscal)*
3. DEPARTMENT OF SAFETY – Sex Offender Registry
 - *An LBA performance audit has never been conducted in this area. An audit would focus on the process for the accuracy and timeliness of data contained in the Registry and whether it is meeting its objective of informing the public.*
4. DEPARTMENT OF AGRICULTURE, MARKETS, AND FOOD – Division of Weights and Measures
 - *An LBA performance audit has never been conducted in this area. The Division regulates commodities sold by weight or measure in state commerce and the licensing of registered private service technicians, weighmasters, and commercial devices. A performance audit would focus on the efficiency and effectiveness of the Division in the performance of its regulatory responsibilities to ensure consumers and businesses receive accurate quantities of commodities purchased.*
5. DEPARTMENT OF CORRECTIONS – Adult Parole Board
 - *An LBA performance audit of the Department of Corrections Prison Expansion was completed in April 1992. The report contained four observations regarding the Adult Parole Board and cited issues related to Parole Board operations and cooperation between the Board and the Department. A performance audit in this area would focus on current practices of the Board and its relationship with the Department.*
6. DEPARTMENT OF ENVIRONMENTAL SERVICES – Waste Management Division

Office Of Legislative Budget Assistant-Audit Division
POTENTIAL PERFORMANCE AUDIT TOPICS
Joint Legislative Performance Audit and Oversight Committee
November 1, 2017 Meeting

- *An LBA performance audit of the Hazardous Waste Management System was completed in 1989, and an audit of the Fuel Oil Discharge Cleanup Fund was completed in 2009. The Division is responsible for promoting responsible waste management, ensuring wastes/regulated materials are properly handled and dispose, and conducting prompt remediation to restore contaminated sites to productive use while protecting the environment and public health. A performance audit would focus on how effective and efficient the Division has been in meeting its statutory responsibilities in one or more of its program areas.*

7. DEPARTMENT OF CULTURAL RESOURCES

- *An LBA performance audit has never been conducted of the Department which includes the State Council on the Arts, the Film and Television Office, the Division of Historical Resources, the State Library, and the Commission on Native American Affairs. A performance audit would focus on how effective and efficient the Department has been in meeting its statutory responsibilities in one or more of its program areas.*

8. PUBLIC UTILITIES COMMISSION – Electric Company Restructuring

- *An LBA performance audit of the Public Utilities Commission and its Administratively Attached Agencies was completed in 2012. Electric company restructuring was not included in that performance audit. A performance audit would examine the status of the competitive electric market in the State.*